# Package 'PSAboot'

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Type Package

Title Bootstrapping for Propensity Score Analysis

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Description It is often advantageous to test a hypothesis more than once in the context of propensity score analysis (Rosenbaum, 2012)
<doi:10.1093/biomet/ass032>. The functions in this package facilitate bootstrapping for propensity score analysis (PSA). By default, bootstrapping using two classification tree methods (using 'rpart' and 'ctree' functions), two matching methods (using 'Matching' and 'MatchIt' packages), and stratification with logistic regression. A framework is described for users to implement additional propensity score methods. Visualizations are emphasized for diagnosing balance; exploring the correlation relationships between bootstrap samples and methods; and to summarize results.

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URL https://github.com/jbryer/PSAboot

BugReports https://github.com/jbryer/PSAboot/issues

**Depends** ggplot2, graphics, PSAgraphics, R (>= 3.0)

**Imports** ggthemes, Matching, MatchIt, modeltools, parallel, party, psych, reshape2, rpart, stats, TriMatch, utils

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PSAboot-package

Bootstrapping for Propensity Score Analysis

# Description

Bootstrapping procedures for Propensity Score Analysis.

as.data.frame.PSAbootSummary

Convert the results of PSAboot summary to a data frame.

#### Description

Convert the results of PSAboot summary to a data frame.

# Usage

```
## S3 method for class 'PSAbootSummary'
as.data.frame(x, row.names = NULL, optional = FALSE, ...)
```

# Arguments

х	results of summary.PSAboot
row.names	row names.
optional	unused.
	unused.

# Value

a data.frame.

hal	ance	
Dal	ance	

Returns a summary of the balance for all bootstrap samples.

#### Description

This method provides some crude overall measures of balance.

# Usage

```
balance(psaboot, na.rm = TRUE, pool.fun = mean)
```

# Arguments

psaboot	results from PSAboot.
na.rm	should NAs be removed. NAs generally occur when there is insufficient sample for a particular covariate or an unused level.
pool.fun	a function specifying how the effect sizes across all covariates should be com- bined. Possible values include mean (default), q25, q75, median, max, or any function that takes a vector of numeric values.

#### Value

a list with three elements:

unadjusted named numeric vector with unadjusted effect size before adjustment for each covariate

complete a matrix with adjusted effect size for each covariate (columns) for each method (rows).

**pooled** a matrix with mean adjusted effect size for all covariates for each method (columns) and each bootstrap sample (rows).

balances a list with an M x n covariates matrix for each method.

#### Examples

```
library(PSAboot)
data(pisa.psa.cols)
data(pisausa)
bm.usa <- PSAboot(Tr = as.integer(pisausa$PUBPRIV) - 1,
    Y = pisausa$Math,
    X = pisausa[,pisa.psa.cols],
    control.ratio = 5, M = 100, seed = 2112)
bm.usa.bal <- balance(bm.usa)</pre>
```

balance.matching Returns balance for each covariate from propensity score matching.

#### Description

This function is function is primarily used by [PSAboot::balance()] and probably does not need to be called directly.

#### Usage

```
balance.matching(index.treated, index.control, covs)
```

#### Arguments

index.treated	a vector with the index of treated rows in covs.	
index.control	a vector with the index of control rows in covs.	
COVS	data frame or matrix of covariates. Factors should already be recoded. See $cv.trans.psa$	

### Value

a named vector with one element per covariate.

boot.ctree

#### Description

Stratification using classification trees for bootstrapping.

#### Usage

boot.ctree(Tr, Y, X, X.trans, formu, minStrata = 5, ...)

#### Arguments

Tr	vector indicating treatment assignment.
Υ	vector of outcome.
Х	matrix or data frame of covariates.
X.trans	a data frame of X with factors recoded. See cv.trans.psa
formu	the formula to use to estimate propensity scores. Note that the dependent varaible (i.e. treatment varaible) name will be updated using the Tr vector.
minStrata	minimum number of treatment or control units within a strata to include that strata.
	other parameters passed from PSAboot

#### Value

a list with three elements:

- summary a named numeric vector (with at minimum estimate, ci.min, and ci.max but other values allowed)
- balance a named numeric vector with one element per covariate listed in X.trans representing a balance statistic (usually standardized effect size after adjustment)
- details an arbitrary object that contains the full results of the analysis

boot.matching	Matching package	implementation	n for bootstrapping.
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# Description

Matching package implementation for bootstrapping.

```
boot.matching(Tr, Y, X, X.trans, formu, estimand = "ATE", ...)
```

Tr	vector indicating treatment assignment.
Υ	vector of outcome.
Х	matrix or data frame of covariates.
X.trans	a data frame of X with factors recoded. See cv.trans.psa
formu	the formula to use to estimate propensity scores. Note that the dependent varaible (i.e. treatment varaible) name will be updated using the Tr vector.
estimand	character string for estimand, either ATE, ATT, or ATC. See Match for more details.
	other parameters passed to Match.

# Value

a list with three elements:

- summary a named numeric vector (with at minimum estimate, ci.min, and ci.max but other values allowed)
- balance a named numeric vector with one element per covariate listed in X.trans representing a balance statistic (usually standardized effect size after adjustment)

details an arbitrary object that contains the full results of the analysis

boot.matchit MatchIt package implementation for bootstrapping.

# Description

MatchIt package implementation for bootstrapping.

### Usage

```
boot.matchit(Tr, Y, X, X.trans, formu, ...)
```

#### Arguments

Tr	vector indicating treatment assignment.
Υ	vector of outcome.
Х	matrix or data frame of covariates.
X.trans	a data frame of X with factors recoded. See cv.trans.psa
formu	the formula to use to estimate propensity scores. Note that the dependent varaible (i.e. treatment varaible) name will be updated using the Tr vector.
	other parameters passed from PSAboot

#### boot.rpart

#### Value

a list with three elements:

- summary a named numeric vector (with at minimum estimate, ci.min, and ci.max but other values allowed)
- balance a named numeric vector with one element per covariate listed in X.trans representing a balance statistic (usually standardized effect size after adjustment)
- details an arbitrary object that contains the full results of the analysis

boot.rpart

Stratification using classification trees for bootstrapping.

#### Description

Stratification using classification trees for bootstrapping.

#### Usage

boot.rpart(Tr, Y, X, X.trans, formu, minStrata = 5, ...)

#### Arguments

Tr	vector indicating treatment assignment.
Υ	vector of outcome.
Х	matrix or data frame of covariates.
X.trans	a data frame of X with factors recoded. See cv.trans.psa
formu	the formula to use to estimate propensity scores. Note that the dependent varaible (i.e. treatment varaible) name will be updated using the Tr vector.
minStrata	minimum number of treatment or control units within a strata to include that strata.
	other parameters passed from PSAboot

#### Value

a list with three elements:

- summary a named numeric vector (with at minimum estimate, ci.min, and ci.max but other values allowed)
- balance a named numeric vector with one element per covariate listed in X.trans representing a balance statistic (usually standardized effect size after adjustment)
- details an arbitrary object that contains the full results of the analysis

boot.strata

#### Description

Stratification implementation for bootstrapping.

#### Usage

boot.strata(Tr, Y, X, X.trans, formu, nstrata = 5, ...)

#### Arguments

Tr	vector indicating treatment assignment.
Υ	vector of outcome.
Х	matrix or data frame of covariates.
X.trans	a data frame of X with factors recoded. See cv.trans.psa
formu	the formula to use to estimate propensity scores. Note that the dependent varaible (i.e. treatment varaible) name will be updated using the Tr vector.
nstrata	number of strata to divide the propensity scores.
	other parameters passed from PSAboot

#### Value

a list with three elements:

- summary a named numeric vector (with at minimum estimate, ci.min, and ci.max but other values allowed)
- balance a named numeric vector with one element per covariate listed in X.trans representing a balance statistic (usually standardized effect size after adjustment)
- details an arbitrary object that contains the full results of the analysis

boot.weighting Propensity score weighting implementation for bootstrapping.

#### Description

Propensity score weighting implementation for bootstrapping.

```
boot.weighting(Tr, Y, X, X.trans, formu, estimand = "ATE", ...)
```

#### boxplot.PSAboot

#### Arguments

Tr	vector indicating treatment assignment.
Υ	vector of outcome.
Х	matrix or data frame of covariates.
X.trans	a data frame of X with factors recoded. See cv.trans.psa
formu	the formula to use to estimate propensity scores. Note that the dependent varaible (i.e. treatment varaible) name will be updated using the Tr vector.
estimand	which treatment effect to estimate. Values can be ATE, ATT, ATC, or ATM.
	other parameters passed from PSAboot

#### Value

a list with three elements:

- summary a named numeric vector (with at minimum estimate, ci.min, and ci.max but other values allowed)
- balance a named numeric vector with one element per covariate listed in X.trans representing a balance statistic (usually standardized effect size after adjustment)
- details an arbitrary object that contains the full results of the analysis

boxplot.PSAboot Boxplot of PSA bootstrap results.

# Description

Boxplot of PSA bootstrap results.

```
## S3 method for class 'PSAboot'
boxplot(
    x,
    bootstrap.mean.color = "blue",
    bootstrap.ci.color = "green",
    bootstrap.ci.width = 0.5,
    bootstrap.ci.size = 3,
    overall.mean.color = "red",
    tufte = FALSE,
    coord.flip = TRUE,
    ...
)
```

х	result of PSAboot.	
bootstrap.mean.color		
	the color of the point for the bootstrap mean, or NA to omit.	
bootstrap.ci.co	plor	
	the color of the confidence intervals of the bootstrap samples, or NA to omit.	
bootstrap.ci.width		
	the width of the confidence interval lines at the end.	
bootstrap.ci.size		
	the size of the confidence interval lines.	
overall.mean.color		
	the color of the point for the overall (before bootstrapping) mean, or NA to omit.	
tufte	use Tufte's boxplot style. Requires the ggthemes package.	
coord.flip	Whether to flip the coordinates.	
	unused	

# Value

a ggplot2 expression.

boxplot.PSAboot.balance

Boxplot of the balance statistics for bootstrapped samples.

# Description

Boxplot of the balance statistics for bootstrapped samples.

# Usage

```
## S3 method for class 'PSAboot.balance'
boxplot(
    x,
    unadjusted.color = "red",
    pooled.color = "blue",
    point.size = 3,
    point.alpha = 0.5,
    ...
)
```

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Х	results of balance
unadjusted.col	or
	the color used for the unadjusted effect size.
pooled.color	the color used for the mean bootstrap effect size.
point.size	the size of the points.
point.alpha	the transparency level for the points.
	other parameters passed to facet_wrap

# Value

a ggplot2 expression.

# Examples

```
library(PSAboot)
data(pisa.psa.cols)
data(pisausa)
bm.usa <- PSAboot(Tr = as.integer(pisausa$PUBPRIV) - 1,
    Y = pisausa$Math,
    X = pisausa[,pisa.psa.cols],
    control.ratio = 5, M = 100, seed = 2112)
bm.usa.bal <- balance(bm.usa)
boxplot(bm.usa.bal, nrow = 1)</pre>
```

calculate\_ps\_weights Calculates propensity score weights.

# Description

Calculates propensity score weights.

#### Usage

```
calculate_ps_weights(treatment, ps, estimand = "ATE")
```

#### Arguments

treatment	a logical vector for treatment status.
ps	numeric vector of propensity scores
estimand	character string indicating which estimand to be used. Possible values are ATE (average treatment effect), ATT (average treatment effect for the treated), ATC (average treatement effect for the controls), ATM (Average Treatment Effect Among the Evenly Matchable), ATO (Average Treatment Effect Among the Overlap Populatio)

getPSAbootMethods

#### Description

The current default methods are:

Stratification boot.strata ctree boot.ctree rpart boot.rpart Matching boot.matching MatchIt boot.matchit

#### Usage

```
getPSAbootMethods()
```

# Details

The default methods can be changed by setting the PSAboot.methods option using options ('PSAboot.methods'=c(...)) where ... is a named list of functions.

#### Value

a vector of methods for use by PSAboot

hist.PSAboot Histogram of PSA bootstrap results

#### Description

Histogram of PSA bootstrap results

#### Usage

## S3 method for class 'PSAboot'
hist(x, ...)

#### Arguments

х	result of PSAboot.
	other parameters passed to geom_histogram

#### Value

a ggplot2 expression.

matrixplot

# Description

Matrix Plot of Bootstrapped Propensity Score Analysis

#### Usage

matrixplot(bm)

#### Arguments

bm

result from PSAboot.

#### Value

Nothing returned. Creates a plot using the [graphics::pairs()] function.

pisa.psa.cols	Character vector representing the list of covariates used for estimating
	propensity scores.

### Description

Character vector representing the list of covariates used for estimating propensity scores.

#### Format

a character vector with covariate names for estimating propensity scores.

pisalux	Programme of International Student Assessment (PISA) results from
	the Luxembourg in 2009.

#### Description

Student results from the 2009 Programme of International Student Assessment (PISA) as provided by the Organization for Economic Co-operation and Development (OECD). See https://www.oecd.org/pisa/ for more information including the code book.

pisalux

# Format

a data frame with 4,622 rows and 65 columns.

CNT Country SCHOOLID SchoolID ST01Q01 Grade ST04Q01 Sex ST05Q01 Attend ST06Q01 Age ST07Q01 Repeat ST08Q01 At home mother ST08Q02 At home father ST08Q03 At home brothers ST08Q04 At home sisters ST08Q05 At home grandparents ST08Q06 At home others ST10Q01 Mother highest schooling ST12Q01 Mother current job status ST14Q01 Father highest schooling ST16Q01 Father current job status ST19Q01 Language at home ST20Q01 Desk ST20Q02 Own room ST20Q03 Study place ST20Q04 Computer ST20Q05 Software ST20Q06 Internet ST20Q07 Literature ST20Q08 Poetry ST20Q09 Art ST20Q10 Textbooks ST20Q12 Dictionary ST20Q13 Dishwasher ST20Q14 DVD ST21Q01 How many cellphones ST21Q02 How many TVs ST21Q03 How many computers ST21Q04 How many cars

#### pisausa

- ST21Q05 How many rooms bath or shower
- ST22Q01 How many books
- ST23Q01 Reading enjoyment time
- ST31Q01 Enrich in test language
- ST31Q02 Enrich in mathematics
- ST31Q03 Enrich in science
- ST31Q05 Remedial in test language
- ST31Q06 Remedial in mathematics
- ST31Q07 Remedial in science
- ST32Q01 Out of school lessons in test language
- ST32Q02 Out of school lessons maths
- ST32Q03 Out of school lessons in science
- PUBPRIV Public or private school
- STRATIO Student to teacher ratio in school

# Details

Note that missing values have been imputed using the mice package. Details on the specific procedure are in the pisa.impute function in the pisa package.

#### References

Organisation for Economic Co-operation and Development (2009). Programme for International Student Assessment (PISA).

pisausa

Programme of International Student Assessment (PISA) results from the United States in 2009.

#### Description

Student results from the 2009 Programme of International Student Assessment (PISA) as provided by the Organization for Economic Co-operation and Development (OECD). See www.oecd.org/pisa/ for more information including the code book.

#### Format

a data frame with 5,233 rows and 65 columns.

CNT Country SCHOOLID SchoolID ST01Q01 Grade ST04Q01 Sex

pisausa

- ST05Q01 Attend ST06Q01 Age ST07Q01 Repeat ST08Q01 At home mother ST08Q02 At home father ST08Q03 At home brothers ST08Q04 At home sisters ST08Q05 At home grandparents ST08Q06 At home others ST10Q01 Mother highest schooling ST12Q01 Mother current job status ST14Q01 Father highest schooling ST16Q01 Father current job status ST19Q01 Language at home ST20Q01 Desk ST20Q02 Own room ST20Q03 Study place ST20Q04 Computer ST20Q05 Software ST20Q06 Internet ST20Q07 Literature ST20Q08 Poetry ST20Q09 Art ST20Q10 Textbooks ST20Q12 Dictionary ST20013 Dishwasher ST20014 DVD ST21Q01 How many cellphones ST21Q02 How many TVs ST21Q03 How many computers ST21Q04 How many cars ST21Q05 How many rooms bath or shower ST22Q01 How many books ST23Q01 Reading enjoyment time ST31Q01 Enrich in test language ST31Q02 Enrich in mathematics
- ST31Q03 Enrich in science

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#### plot.PSAboot

ST31Q05	Remedial in test language
ST31Q06	Remedial in mathematics
ST31Q07	Remedial in science
ST32Q01	Out of school lessons in test language
ST32Q02	Out of school lessons maths
ST32Q03	Out of school lessons in science
PUBPRIV	Public or private school
STRATIO	Student to teacher ratio in school

#### Details

Note that missing values have been imputed using the mice package. Details on the specific procedure are in the pisa.impute function in the pisa package.

#### References

Organisation for Economic Co-operation and Development (2009). Programme for International Student Assessment (PISA).

plot.PSAboot

Plot the results of PSAboot

#### Description

Plot the results of PSAboot

#### Usage

```
## S3 method for class 'PSAboot'
plot(
    x,
    sort = "all",
    ci.sig.color = "red",
    plot.overall = FALSE,
    plot.bootstrap = TRUE,
    ...
)
```

#### Arguments

х	result of PSAboot.
sort	how the sort the rows by mean difference. Options are to sort using the mean difference from matching, stratification, both individually, or no sorting.
ci.sig.color	the color used for confidence intervals that do not span zero.

plot.overall	whether to plot vertical lines for the overall (non-bootstrapped) estimate and confidence interval.
plot.bootstrap	whether to plot vertical lines for the bootstrap pooled estimate and confidence interval.
	currently unused.

# Value

a ggplot2 expression.

plot.PSAboot.balance Plot method for balance.

# Description

Plot method for balance.

# Usage

```
## S3 method for class 'PSAboot.balance'
plot(
    x,
    unadjusted.color = "red",
    complete.color = "blue",
    pooled.color = "black",
    ...
)
```

# Arguments

Х	results from balance	
unadjusted.color		
	color of the vertical line representing the mean unadjusted effect size for all covariates.	
complete.color	color of the vertical line representing the mean adjusted effect size for all co- variates using the complete dataset.	
pooled.color	color of the vertical line representing the mean adjusted effect size for all co- variates across all bootstrapped samples.	
	currently unused.	

#### Value

a ggplot2 expression.

#### print.PSAboot

#### Examples

```
library(PSAboot)
data(pisa.psa.cols)
data(pisausa)
bm.usa <- PSAboot(Tr = as.integer(pisausa$PUBPRIV) - 1,
    Y = pisausa$Math,
    X = pisausa[,pisa.psa.cols],
    control.ratio = 5, M = 100, seed = 2112)
bm.usa.bal <- balance(bm.usa)
plot(bm.usa.bal)</pre>
```

print.PSAboot Print results of PSAboot

# Description

Print results of PSAboot

#### Usage

## S3 method for class 'PSAboot'
print(x, ...)

#### Arguments

х	result of PSAboot.
	currently unused.

#### Value

Nothing returned. S3 generic function that calls the [PSAboot::summary()] function.

print.PSAboot.balance Print method for balance.

# Description

This is a crude measure of overall balance. Absolute value of the standardized effect sizes are calculated for each covariate. Overall balance statistics are the mean of those effect sizes after adjustment for each method across all bootstrap samples.

```
## S3 method for class 'PSAboot.balance'
print(x, na.rm = TRUE, ...)
```

psa.strata

#### Arguments

х	results from balance.
na.rm	whether NA balance statistics should be removed before averaging them.
	currently unused.

# Value

No valued returned.

print.PSAbootSummary Print method for PSAboot Summary.

# Description

Print method for PSAboot Summary.

#### Usage

## S3 method for class 'PSAbootSummary'
print(x, digits = 3, ...)

# Arguments

х	result of summary.PSAboot	
digits	desired number of digits after the decimal point.	
	unused.	

# Value

Nothing returned.

psa.strata	Propensity Score Analysis using Stratification
------------	--

# Description

Propensity Score Analysis using Stratification

```
psa.strata(Y, Tr, strata, trim = 0, minStrata = 5)
```

#### PSAboot

#### Arguments

Y	response variable.
Tr	treatment variable.
strata	strata identifier.
trim	allows for a trimmed mean as outcome measure, where trim is from 0 to .5 (.5 implying median).
minStrata	minimum number of treatment or control units within a strata to include that strata.

#### Value

a character vector containing summary.strata, ATE, se.wtd, approx.t, df, and CI.95.

PSAboot

Bootstrapping for propensity score analysis

#### Description

Bootstrapping has become a popular resampling method for estimating sampling distributions. And propensity score analysis (PSA) has become popular for estimating causal effects in observational studies. This function implements bootstrapping specifically for PSA. Like typical bootstrapping methods, this function estimates treatment effects for M random samples. However, unlike typical bootstrap methods, this function allows for separate sample sizes for treatment and control units. That is, under certain circumstances (e.g. when the ratio of treatment-to-control units is large) bootstrapping only the control units may be desirable. Additionally, this function provides a framework to use multiple PSA methods for each bootstrap sample.

```
PSAboot(
 Tr,
  Υ,
 Χ,
 M = 100,
  formu = as.formula(paste0("treat ~ ", paste0(names(X), collapse = " + "))),
  control.ratio = 5,
  control.sample.size = min(control.ratio * min(table(Tr)), max(table(Tr))),
  control.replace = TRUE,
  treated.sample.size = min(table(Tr)),
  treated.replace = TRUE,
 methods = getPSAbootMethods(),
  parallel = TRUE,
  seed = NULL,
  . . .
)
```

Tr	numeric (0 or 1) or logical vector of treatment indicators.	
Y	vector of outcome variable	
Х	matrix or data frame of covariates used to estimate the propensity scores.	
М	number of bootstrap samples to generate.	
formu	formula used for estimating propensity scores. The default is to use all covari- ates in X.	
control.ratio	the ratio of control units to sample relative to the treatment units.	
control.sample.size		
	the size of each bootstrap sample of control units.	
control.replace		
	whether to use replacement when sampling from control units.	
treated.sample.size		
	the size of each bootstrap sample of treatment units. The default uses all treat- ment units for each bootstrap sample.	
treated.replace		
	whether to use replacement when sampling from treated units.	
methods	a named vector of functions for each PSA method to use.	
parallel	whether to run the bootstrap samples in parallel.	
seed	random seed. Each iteration, i, will use a seed of seed + i.	
	other parameters passed to Match and psa.strata	

#### Value

a list with following elements:

- **overall.summary** Data frame with the results using the complete dataset (i.e. unbootstrapped results).
- overall.details Objects returned from each method for complete dataset.

pooled.summary Data frame with results of each bootstrap sample.

pooled.details List of objects returned from each method for each bootstrap sample.

**control.sample.size** sample size used for control units.

treated.sample.size sample size used for treated units.

control.replace whether control units were sampled with replacement.

treated.replace whether treated units were sampled with replacement.

- Tr vector of treatment assignment.
- Y vector out outcome.
- X matrix or data frame of covariates.
- M number of bootstrap samples.

#### See Also

getPSAbootMethods

# q25

# Examples

```
library(PSAboot)
data(pisa.psa.cols)
data(pisausa)
bm.usa <- PSAboot(Tr = as.integer(pisausa$PUBPRIV) - 1,
    Y = pisausa$Math,
    X = pisausa[,pisa.psa.cols],
    control.ratio = 5, M = 100, seed = 2112)</pre>
```

q25

# Return the 25th percentile.

#### Description

Return the 25th percentile.

# Usage

q25(x, na.rm = FALSE, ...)

# Arguments

x	numeric vector.
na.rm	logical; if true, any NA and NaN's are removed from x before the quantiles are computed
	other parameters passed to quantile.

# Value

the 25th percentile.

q75 <i>Returns the 75th percentile.</i>	
---	--

# Description

Returns the 75th percentile.

#### Usage

q75(x, na.rm = FALSE, ...)

х	numeric vector.
na.rm	logical; if true, any NA and NaN's are removed from x before the quantiles are computed
	other parameters passed to quantile.

# Value

the 75th percentile.

summary.PSAboot Summary of pooled results from PSAboot

#### Description

Summary of pooled results from PSAboot

# Usage

## S3 method for class 'PSAboot'
summary(object, ...)

#### Arguments

object	result of PSAboot.
	currently unused.

#### Value

a list with pooled summary statistics.

a list with the results from easch PSA method. For each method a list contains the following elements:

sig.tot.per Percentage of boostrap samples where the confidence interval does not span zero.

boostrap.mean Weighted mean difference across all bootstrap samples.

boostrap.ci Overall confidence interval across all bootstrap samples.

bootstrap.weighted.mean Overall weighted bootstrap mean.

percent.sig Contingency table of the number of bootstrap samples that don't span zero.

complete Results of the summary of the PSA method.

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